

## Board of Governors of the Federal Reserve System



# Quarterly Savings and Loan Holding Company Report—FR 2320

## Report at the close of business as of the last calendar day of the quarter

This Report is required by law: Section 10(b)(2) of the Home Owners' Loan Act (12 U.S.C. § 1467a(b)(2)), as amended by Section 369 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (Pub. L. 111-203, § 369(8)).

This report form is to be filed by savings and loan holding companies. When such savings and loan holding companies are tiered

savings and loan holding companies, a lower tier savings and loan holding company may be required to file as discussed in the instructions.

The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

NOTE: The Quarterly Savings and Loan Holding Company Report must be signed and attested by the Chief Financial Officer (CFO) of the reporting savings and loan holding company (or by the individual performing this equivalent function).

I, the undersigned CFO (or equivalent) of the named savings and loan holding company, attest that the Quarterly Savings and Loan Holding Company Report for this report date have been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

Date of Report: **September 30, 2017**

Month / Day / Year (SLHC 9999)

Printed Name of Chief Financial Officer (or equivalent) (SLHX C490)

Signature of Chief Financial Officer (or equivalent)

Date of Signature (MM/DD/YYYY) (SLHX J196)

Legal Title of Savings and Loan Holding Company (TEXT 9010)

(Mailing Address of the Savings and Loan Holding Company) Street / P.O. Box (TEXT 9110)

City (TEXT 9130)

State (TEXT 9200)

Zip Code (TEXT 9220)

Savings and loan holding companies must maintain in their files a manually signed and attested printout of the data submitted.

<p>Fiscal Year End (MM) (SLHC 8678)</p>
<p>Stock Exchange Ticker Symbol (SLHC 4539)</p>
<p>SEC File Number (SLHC C546)</p>
<p>Website Address (78 characters maximum) (SLHC 4087)</p>

Person to whom questions about this report should be directed:

Name / Title (SLHX 8901)

Area Code / Phone Number (SLHX 8902)

Area Code / FAX Number (SLHX 9116)

E-mail Address of Contact (SLHX 4086)

### For Federal Reserve Bank Use Only

RSSD ID \_\_\_\_\_  
C.I. \_\_\_\_\_ S.F. \_\_\_\_\_

# Quarterly Savings and Loan Holding Company Report

	(Column A) Parent Only		(Column B) Consolidated		
	SLHP	Amount	SLHC	Amount	
Dollar Amounts in Thousands					
1. Total Assets .....	2170		2170		1.
2. Total Liabilities .....	2950		2950		2.
<b>Equity:</b>					
3. Perpetual Preferred Stock:					
a. Cumulative .....	8608		8608		3.a.
b. Noncumulative .....	8609		8609		3.b.
4. Common Stock:					
a. Par Value .....	3266		3266		4.a.
b. Paid in Excess of Par .....	3240		3240		4.b.
5. Accumulated Other Comprehensive Income:					
a. Accumulated Gains (Losses) on Certain Securities .....	8434		8434		5.a.
b. Gains (Losses) on Cash Flow Hedges .....	C506		C506		5.b.
c. Other .....	C507		C507		5.c.
6. Retained Earnings .....	3250		3250		6.
7. Other Components of Equity .....	A130		A130		7.
a. Total Holding Company Equity .....			3210		7.a.
b. Noncontrolling Interests in Consolidated Subsidiaries .....			3000		7.b.
8. Total Equity .....	3210		G105		8.
9. Total Liabilities and Equity .....	3301		3301		9.
10. Net Income (Loss) Attributable to:					
a. Holding Company and Noncontrolling Interests .....			G104		10.a.
b. Holding Company .....	4340		4340		10.b.
11. Dividends Declared Attributable to Holding Company .....	J224		J224		11.
12. Included in Total Assets:					
a. Cash, Deposits, and Investment Securities .....	0658		0658		12.a.
b. Receivable from Subsidiaries:					
(1) Savings Association .....	C547				12.b.(1)
(2) Other Subsidiaries .....	C548				12.b.(2)
c. Investment in Subsidiaries:					
(1) Savings Association .....	C549				12.c.(1)
(2) Other Subsidiaries .....	C550				12.c.(2)
13. Intangible Assets:					
a. Mortgage Servicing Assets .....	3164		3164		13.a.
b. Nonmortgage Servicing Assets and Other .....	C551		C551		13.b.
14. Deferred Policy Acquisition Costs .....	C076		C076		14.
15. Included in Total Liabilities (Excluding Deposits) Payable to Subsidiaries:					
a. Savings Association Subsidiaries					
(1) Transactional .....	C552				15.a.(1)
(2) Debt .....	C553				15.a.(2)
b. Other Subsidiaries:					
(1) Transactional .....	C554				15.b.(1)
(2) Debt .....	C555				15.b.(2)
16. Trust Preferred Instruments .....	C556		C556		16.
17. Other Debt Maturing in 12 Months or Less .....	C078		C078		17.
18. Other Debt Maturing in More Than 12 Months .....	C558		C558		18.

## Quarterly Savings and Loan Holding Company Report—Continued

Dollar Amounts in Thousands	(Column A) Parent Only		(Column B) Consolidated		
	SLHP	Amount	SLHC	Amount	
19. Reflected in Net Income:					
a. Interest Income .....	0478		0478		19.a.
b. Dividends:					
(1) From Savings Association Subsidiaries .....	C559				19.b.(1)
(2) From Other Subsidiaries .....	C560				19.b.(2)
c. Total Income .....	4003		4003		19.c.
d. Interest Expense:					
(1) Trust Preferred Instruments .....	C561		C561		19.d.(1)
(2) All Other Debt .....	C562		C562		19.d.(2)
e. Total Expenses .....	4134		4134		19.e.
f. Total Income Taxes .....	3854		3854		19.f.
20. Net Cash Flow from Operations Attributable to Holding Company .....	3619		3619		20.

**Supplemental Questions (For line items 21 through 29, enter "1" for yes; enter "0" for no):**

21. Have any significant subsidiaries of the holding company been formed, sold, or dissolved during the quarter? ...	SLHC									21.
22. Is the holding company or any of its subsidiaries:	C564									
a. A broker or dealer registered under the Securities Exchange Act of 1934? .....	C565									22.a.
b. An investment adviser regulated by the Securities and Exchange Commission or any State? .....	C566									22.b.
c. An investment company registered under the Investment Company Act of 1940? .....	C567									22.c.
d. An insurance company subject to supervision by a State insurance regulator? .....	C568									22.d.
e. Subject to regulation by the Commodity Futures Trading Commission? .....	C569									22.e.
f. Or affiliates conducting operations outside of the U.S. through a foreign branch or subsidiary? .....	C570									22.f.
23. Has the holding company appointed any new senior executive officers or directors during the quarter? .....	C571									23.
24. Has the holding company or any of its subsidiaries entered into a new pledge, or changed the terms and conditions of any existing pledge, of capital stock of any subsidiary savings association that secures short-term or long-term debt or other borrowings of the holding company? <sup>1</sup> .....	C572									24.
25. Has the holding company or any of its subsidiaries implemented changes to any class of securities that would negatively impact investors? <sup>1</sup> .....	C573									25.
26. Has there been any default in the payment of principal, interest, a sinking or purchase fund installment, or any other default of the holding company or any of its subsidiaries during the quarter? <sup>1</sup> .....	C574									26.
27. Has there been a change in the holding company's independent auditors during the quarter? .....	C575									27.
28. Has there been a change in the holding company's fiscal year end during the quarter? .....	C576									28.
29. Does the holding company or any of its GAAP-consolidated subsidiaries control other U.S. depository institutions? .....	C577									29.
30. If located in the U.S. or its territories, provide the FDIC certificate number .....	SLHC									
	C582									30.a.
	C583									30.b.
	C584									30.c.
	C585									30.d.
	C586									30.e.

1. The Federal Reserve regards information submitted in response to line items 24, 25, and 26 as confidential.