

Board of Governors of the Federal Reserve System



Supplement to the Report of Changes in Organizational Structure—FR Y-10S

(For reporting of current SEC reporting status pursuant to section 13(a) or 15(d) of the Securities and Exchange Act of 1934 and CUSIP numbers)

Cover Page

Report as of the close of business on _____
(MM/DD/YYYY)

Legal Name of Top-Tier Banking Company (the Reporter)

Street Address

City/County

State/Province/Country

Zip/Postal Code

For Federal Reserve Bank Use Only

RSSD ID _____

Person in the U.S. to whom questions about this report should be directed:

Name

Title

Area Code / Phone Number

FAX Number (including area code)

E-mail Address

Authorized Official:

Name

Title

Area Code / Phone Number

Signature of Authorized Official

Date of Signature

Public reporting burden for the information collection is estimated to average 1 hour per response, including time to gather and maintain the data and complete the information collection. The Federal Reserve may not conduct or sponsor, and a person is not required to respond to any information collection unless it displays a currently valid OMB control number. Comments regarding this estimate or any other aspects of this information burden may be sent to Secretary, Board of Governors of the Federal Reserve System, Washington, D.C. 20551, and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503.

This report is required by law: Sections 4(k) and 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. §§ 1843(k), 1844(c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25(7) and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 CFR 211.13(c)); and Sections 225.5(b) and 225.87 of Regulation Y (12 CFR 225.5(b) and 225.87).

Schedule A—SEC Reporting Status

To be completed by the reporter for itself and for each of its subsidiaries, as defined in the instructions. Use additional pages as needed.

Part I

Is the reporter or any of its subsidiaries required to file annual and other periodic reports with the SEC pursuant to section 13(a) or 15(d) of the Securities and Exchange Act of 1934? Yes No

If “yes,” complete Part II of Schedule A for all such companies. If the SEC reporting requirements have been suspended for the reporter or any subsidiary, respond “yes”, complete Part II, and return the cover page and Schedule A to the appropriate Federal Reserve Bank. See the instructions for a definition of suspension of reporting requirements.

If “no,” return the cover page of the report and Schedule A to the appropriate Federal Reserve Bank. A reporter should report “no” when neither it nor any of its subsidiaries are subject to the annual reporting requirements pursuant to section 13(a) or 15(d) of the Securities and Exchange Act of 1934, or when such requirements have been terminated.

Part II

This part should only be completed for the reporter and those subsidiaries that file annual and other periodic reports with the SEC pursuant to section 13(a) or 15(d) of the Securities and Exchange Act of 1934.

_____ Legal Name of Entity	_____ Street Address
_____ City/Country	_____ State/Province/Country
	_____ Zip/Postal Code
<div style="border: 1px solid black; padding: 2px; width: fit-content; margin-left: auto;"> For Federal Reserve Bank Use Only RSSD ID _____ </div>	
<p>Current SEC Reporting Status:</p> <p><input type="checkbox"/> Entity is subject to section 13(a) or 15(d) of the Securities and Exchange Act of 1934 and section 404 of Sarbanes-Oxley Act of 2002</p> <p><input type="checkbox"/> Entity is subject to section 13(a) or 15(d) of the Securities and Exchange Act of 1934 but is not subject to section 404 of Sarbanes-Oxley Act of 2002</p> <p><input type="checkbox"/> Entity has suspended its reporting requirements under section 13(a) or 15(d) of the Securities and Exchange Act of 1934</p>	

_____ Legal Name of Entity	_____ Street Address
_____ City/Country	_____ State/Province/Country
	_____ Zip/Postal Code
<div style="border: 1px solid black; padding: 2px; width: fit-content; margin-left: auto;"> For Federal Reserve Bank Use Only RSSD ID _____ </div>	
<p>Current SEC Reporting Status:</p> <p><input type="checkbox"/> Entity is subject to section 13(a) or 15(d) of the Securities and Exchange Act of 1934 and section 404 of Sarbanes-Oxley Act of 2002</p> <p><input type="checkbox"/> Entity is subject to section 13(a) or 15(d) of the Securities and Exchange Act of 1934 but is not subject to section 404 of Sarbanes-Oxley Act of 2002</p> <p><input type="checkbox"/> Entity has suspended its reporting requirements under section 13(a) or 15(d) of the Securities and Exchange Act of 1934</p>	

Schedule A, Part II—Continued

_____		_____	
Legal Name of Entity	Street Address		
_____		_____	
City/Country	State/Province/Country	Zip/Postal Code	
		For Federal Reserve Bank Use Only RSSD ID _____	
Current SEC Reporting Status:			
<input type="checkbox"/> Entity is subject to section 13(a) or 15(d) of the Securities and Exchange Act of 1934 and section 404 of Sarbanes-Oxley Act of 2002			
<input type="checkbox"/> Entity is subject to section 13(a) or 15(d) of the Securities and Exchange Act of 1934 but is not subject to section 404 of Sarbanes-Oxley Act of 2002			
<input type="checkbox"/> Entity has suspended its reporting requirements under section 13(a) or 15(d) of the Securities and Exchange Act of 1934			

_____		_____	
Legal Name of Entity	Street Address		
_____		_____	
City/Country	State/Province/Country	Zip/Postal Code	
		For Federal Reserve Bank Use Only RSSD ID _____	
Current SEC Reporting Status:			
<input type="checkbox"/> Entity is subject to section 13(a) or 15(d) of the Securities and Exchange Act of 1934 and section 404 of Sarbanes-Oxley Act of 2002			
<input type="checkbox"/> Entity is subject to section 13(a) or 15(d) of the Securities and Exchange Act of 1934 but is not subject to section 404 of Sarbanes-Oxley Act of 2002			
<input type="checkbox"/> Entity has suspended its reporting requirements under section 13(a) or 15(d) of the Securities and Exchange Act of 1934			

_____		_____	
Legal Name of Entity	Street Address		
_____		_____	
City/Country	State/Province/Country	Zip/Postal Code	
		For Federal Reserve Bank Use Only RSSD ID _____	
Current SEC Reporting Status:			
<input type="checkbox"/> Entity is subject to section 13(a) or 15(d) of the Securities and Exchange Act of 1934 and section 404 of Sarbanes-Oxley Act of 2002			
<input type="checkbox"/> Entity is subject to section 13(a) or 15(d) of the Securities and Exchange Act of 1934 but is not subject to section 404 of Sarbanes-Oxley Act of 2002			
<input type="checkbox"/> Entity has suspended its reporting requirements under section 13(a) or 15(d) of the Securities and Exchange Act of 1934			

Schedule B—CUSIP Numbers

To be completed by the reporter for itself and certain subsidiaries, as defined in the instructions. Use additional pages as needed.

Part I

Does the reporter, its lower-tier U.S. BHCs, its subsidiary U.S. banks, or its largest subsidiary U.S. nonbanking company have currently active six-digit CUSIP numbers for debt or equity issuances? Yes No

If "yes," complete Part II of Schedule B for all such companies.

If "no," return the cover page of the report and Schedule B to the appropriate Federal Reserve Bank.

Part II

This part should only be completed for the reporter, its lower-tier U.S. BHCs, its subsidiary U.S. banks and its largest subsidiary U.S. nonbanking company that have currently active six-digit CUSIP numbers for debt or equity issuances.

Legal Name of the Entity		Six-Digit CUSIP Number: (first six digits of the nine-digit CUSIP)
Street Address		
City/County		
State/Province/Country	Zip/Postal Code	
		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
		For Federal Reserve Bank Use Only RSSD ID _____

Legal Name of the Entity		Six-Digit CUSIP Number: (first six digits of the nine-digit CUSIP)
Street Address		
City/County		
State/Province/Country	Zip/Postal Code	
		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
		For Federal Reserve Bank Use Only RSSD ID _____

Legal Name of the Entity		Six-Digit CUSIP Number: (first six digits of the nine-digit CUSIP)
Street Address		
City/County		
State/Province/Country	Zip/Postal Code	
		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
		For Federal Reserve Bank Use Only RSSD ID _____