Form G-FINW

# Notice By Financial Institutions of Termination of Activities as a Government Securities Broker or Government Securities Dealer

### (This booklet includes instructions and blank forms.)

**Board of Governors of the Federal Reserve System**
OMB No. 7100-0224

**Federal Deposit Insurance Corporation**
OMB No. 3064-0093

**Office of the Comptroller of the Currency**
OMB No. 1557-0184

**Office of Thrift Supervision**
OMB No. 1550-0019

**Securities and Exchange Commission**
OMB No. 3235-0083

This notice is required by law [15 U.S.C. 78o-5(a)(1)(B)].

Form G-FINW

| OFFICIAL USE |
| --- |
|  |

# Notice by Financial Institutions of Termination of Activities as a Government Securities Broker or Government Securities Dealer

1. Appropriate regulatory agency (check one):

A. ☐ Comptroller of the Currency
B. ☐ Board of Governors of the Federal Reserve System
C. ☐ Federal Deposit Insurance Corporation

D. ☐ Office of Thrift Supervision
E. ☐ Securities and Exchange Commission

2. A. Full name of the financial institution:

_____

B. Address of principal office of financial institution:

_____

C. Mailing address if different from (B):

_____

3. Furnish the name and address of the person who has or will have custody or possession of the financial institution's books and records with respect to the financial institution's activities as a government securities broker or government securities dealer:

Full Name

_____
Last                          First                          Middle

_____
Address

4. Furnish the address of the place where such books and records will be located:

_____

5. **The financial institution submitting this notice of termination of activities and the person executing it represent that all of the information contained herein is true, current and complete.**

Please print name and title of person executing this notice:

_____
First              Middle              Last              Title

_____
Manual Signature                                            Date